

Agenda

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Audit and Governance Committee

Date: **Thursday 26 September 2013**

Time: **6.00 pm**

Place: **Town Hall**

For any further information please contact:

Mathew Metcalfe, Democratic and Electoral Services Officer

Telephone: 01865 252214

Email: mmetcalfe@oxford.gov.uk

Audit and Governance Committee

Membership

Chair	Councillor James Fry	North;
Vice-Chair	Councillor Craig Simmons	St. Mary's;
	Councillor Tony Brett	Carfax;
	Councillor Roy Darke	Headington Hill and Northway;
	Councillor Mike Rowley	Barton and Sandhills;
	Councillor David Rundle	Headington;
	Councillor Scott Seamons	Northfield Brook;

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AGENDA

Pages

1 APOLOGIES FOR ABSENCE

The quorum for this committee is three Members and substitutes are allowed.

2 DECLARATIONS OF INTEREST

Members are asked to declare any disclosable pecuniary interests they may have in any of the following agenda items. Guidance on this is set out at the end of these agenda pages.

3 EXTERNAL AUDIT - AUDIT RESULTS REPORT FOR THE YEAR ENDED 31 MARCH 2013 - ERNST AND YOUNG

1 - 24

The Head of Finance has submitted a report on behalf of the Council's External Auditors, Ernst and Young which details the results of audits for the year ended 31st March 2013.

The Committee is asked to comment on and note the report.

4 STATEMENT OF ACCOUNTS FOR THE YEAR ENDING 31ST MARCH 2013

25 - 166

The Head of Finance has submitted a report the purpose of which is to submit the Council's Statement of Accounts for approval and authorisation.

The Committee is asked to:

- (a) approve the audited 2012/13 Statement of Accounts and authorise the Head of Finance and Chair of the Committee to sign the Statement of Accounts
- (b) approve the Letter of Representation to enable the opinion to be issued.

5 EXTERNAL AUDIT - LG SECTOR UPDATE - GOVERNMENT AND ECONOMIC NEWS - ERNST AND YOUNG

167 - 178

The Head of Finance has submitted a report on behalf of the Council's External Auditors, Ernst and Young which details the Local Government Sector update on Government and Economic News.

The Committee is asked to comment on and note the report.

6 INTERNAL AUDIT PROGRESS REPORT 2013/14 -

179 - 190

PRICEWATERHOUSECOOPERS (PWC)

The Head of Finance has submitted a report on behalf of the Council's Internal Auditors, Pricewaterhousecoopers (PWC) which details the progress made in delivering the work set out in the 2013/14 audit plan.

The Committee is asked to comment on and note the report.

7 PROGRESS ON THE IMPLEMENTATION OF AUDIT RECOMMENDATIONS 191 - 196

The Head of Finance has submitted a report which updates the Committee on the progress made on the implementation of internal and external audit recommendations.

The Committee is asked to comment on and note the report.

8 RISK MANAGEMENT QUARTERLY REPORTING: QUARTER 1 2013/2014 197 - 204

The Head of Finance has submitted a report which updates Members on both corporate and service risks as at the end of quarter 1, 30th June 2013.

The Committee is asked to comment on and note the report.

9 MINUTES 205 - 212

Minutes of the meeting held on 27th June 2013.

10 DATES AND TIMES OF MEETINGS

The Committee will meet at 6.00pm in the Town Hall on the following dates:

Thursday 28th November 2013

Thursday 27th February 2014

Thursday 24th April 2014

DECLARING INTERESTS

General duty

You must declare any disclosable pecuniary interests when the meeting reaches the item on the agenda headed "Declarations of Interest" or as soon as it becomes apparent to you.

What is a disclosable pecuniary interest?

Disclosable pecuniary interests relate to your employment; sponsorship (ie payment for expenses incurred by you in carrying out your duties as a councillor or towards your election expenses); contracts; land in the Council's area; licences for land in the Council's area; corporate tenancies; and securities. These declarations must be recorded in each councillor's Register of Interests which is publicly available on the Council's website.

Declaring an interest

Where any matter disclosed in your Register of Interests is being considered at a meeting, you must declare that you have an interest. You should also disclose the nature as well as the existence of the interest.

If you have a disclosable pecuniary interest, after having declared it at the meeting you must not participate in discussion or voting on the item and must withdraw from the meeting whilst the matter is discussed.

Members' Code of Conduct and public perception

Even if you do not have a disclosable pecuniary interest in a matter, the Members' Code of Conduct says that a member "must serve only the public interest and must never improperly confer an advantage or disadvantage on any person including yourself" and that "you must not place yourself in situations where your honesty and integrity may be questioned". What this means is that the matter of interests must be viewed within the context of the Code as a whole and regard should continue to be paid to the perception of the public.

¹ Disclosable pecuniary interests that must be declared are not only those of the member her or himself but also those of the member's spouse, civil partner or person they are living with as husband or wife or as if they were civil partners.

